SEC Form 4

Instruction 1(b).

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			or desired bo(ii) of the investment company rist of 1540				
1. Name and Address of Reporting Person [*] Dvorkin Howard		son*	2. Issuer Name and Ticker or Trading Symbol <u>FlexShopper, Inc.</u> [FPAY]		tionship of Reporting all applicable) Director	Perso X	on(s) to Issuer 10% Owner
	FLEXSHOPPER, INC		3. Date of Earliest Transaction (Month/Day/Year) 06/17/2019	-	Officer (give title below)		Other (specify below)
901 YAMATO ROAD, STE 260			4. If Amendment, Date of Original Filed (Month/Day/Year)		vidual or Joint/Group	Filing	(Check Applicable
(Street) BOCA RATON	FL	33431		Line) X	Form filed by One Form filed by More Person	•	0
(City)	(State)	(Zip)					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	Amount (A) or (D)		Transaction(s) (Instr. 3 and 4)		(instr. 4)	
Common Stock	06/17/2019		Р		1,000	A	\$1.01	1,000	I	See footnote ⁽¹⁾	
Common Stock	06/19/2019		Р		500	A	\$1.08	1,500	I	See footnote ⁽¹⁾	
Common Stock	11/06/2019		Р		700	A	\$1.59	2,200	I	See footnote ⁽¹⁾	
Common Stock	11/19/2019		Р		100	A	\$1.69	2,300	I	See footnote ⁽¹⁾	
Common Stock	03/26/2020		Р		500	A	\$1.22	500	I	See footnote ⁽²⁾	
Common Stock	06/16/2020		Р		300	A	\$1.63	2,600	I	See footnote ⁽¹⁾	
Common Stock	06/21/2021		Р		400	A	\$2.75	3,000	I	See footnote ⁽¹⁾	
Common Stock	06/22/2021		Р		210	A	\$2.75	2,059,017	I	See footnote ⁽³⁾	
Common Stock	06/24/2021		Р		746	A	\$2.83	2,864,191	I	See footnote ⁽⁴⁾	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Disp of (D	r osed) r. 3, 4	Expiration Date (Month/Day/Year)		Expiration Date Ar (Month/Day/Year) Se Ur De Se		piration Date Amount of			9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						

Explanation of Responses:

1. Shares are owned directly by Scott Dvorkin, as to which Howard Dvorkin may be deemed to exercise voting and investment power. Scott Dvorkin is the adult child of Howard Dvorkin. Howard Dvorkin disclaims ownership of the shares held by Scott Dvorkin except to the extent of his pecuniary interest.

2. Shares are owned directly by Carley Dvorkin, as to which Howard Dvorkin may be deemed to exercise voting and investment power. Carley Dvorkin is the adult child of Howard Dvorkin. Howard Dvorkin disclaims ownership of the shares held by Carley Dvorkin except to the extent of his pecuniary interest.

Held of record by NRNS Capital Holdings, LLC ("NRNS"), of which the reporting person is the manager. The reporting person disclaims beneficial ownership of the Issuer held of record by NRNS except to the extent of his pecuniary interest therein. Amount excludes 753,697 shares of the Issuer's common stock which are issuable upon the exercise of warrants held of record by NRNS.
Held of record by PITA Holdings, LLC ("PITA"). The manager of PITA is Beta Investment Group, Inc. ("Beta"), of which the reporting person is the President. The reporting person disclaims beneficial ownership of the Issuer held of record by PITA except to the extent of his pecuniary interest therein. Amount excludes 1,110,000 shares of the Issuer's common stock which are issuable upon the exercise of warrants held of record by PITA.



** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.